



PTO/SB/30 (10-01)

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**REQUEST
FOR
CONTINUED EXAMINATION (RCE)
TRANSMITTAL**Address to:
Commissioner for Patents
Box RCE
Washington, DC 20231

Application Number	09/272,303
Filing Date	March 19, 1999
First Named Inventor	Satoru TOMARU
Art Unit	1756
Examiner Name	Mr. Martin J. Angebrannt
Attorney Docket Number	32307-147486

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114**

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 CFR 1.116 previously filed on _____
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____
- iii. ☐ Other _____
- b. ☒ Enclosed
- i. ☐ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☒ Other Preliminary Amendment

2. **Miscellaneous**

- a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)
- b. ☐ Other _____

3. **Fees** The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments to Deposit Account No. 22-0261 (If no check is attached)
- i. ☒ RCE fee required under 37 CFR 1.17(e) (\$740.00)
- ii. ☐ Extension of time fee (37 CFR 1.136 and 1.17)
- iii. ☐ Other _____
- b. ☒ Check in the amount of \$ 740.00 enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	Ashley J. Wells	Registration No. (Attorney/Agent)	29,847
Signature	<i>Ashley J. Wells</i>	Date	September 20, 2002

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Name (Print/Type)		Date	
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 1756
Examiner: Mr. Martin J. Angebranndt

In Re PATENT APPLICATION OF

Applicant(s) : Satoru TOMARU et al.

Appln. No. : 09/272,303

Filed : March 19, 1999

For : METHOD FOR PRODUCING A MULTI-
MODE OPTICAL WAVEGUIDE
(As amended)

Atty. Dkt. : 32307-147486

**PRELIMINARY
AMENDMENT**



26694

PATENT TRADEMARK
OFFICE

September 20, 2002

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

A Final Office Action dated June 20, 2002 has been received. In order to obtain claim changes as a matter of right, a Request For Continued Examination and this Preliminary Amendment have been filed concurrently.

No fee is believed due. Please charge any fee deemed due to Deposit Account No. 22-0261 and advise us accordingly.

✓
Please amend the Application as follows.

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